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PTO/SB/05 (08-00)  
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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

# UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.

390533

First Inventor

George T. Spitz, et al.

Title

ALKOXYMETHYL MELAMINE CROSSLINKERS

Express Mail Label No.

EL331153075US

(Only for new nonprovisional application under 37 CFR 1.53(b))

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒

Fee Transmittal Form (e.g. PTO/SB/17)  
(Submit an original and a duplicate for fee processing)

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer

2. ☐

Applicant claims small entity status.  
See 37 CFR 1.27.

8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

3. ☒

Specification [Total Pages 26]  
(preferred arrangement set forth below)  
- Descriptive title of the invention  
- Cross Reference to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to sequence listing, a table,  
or a computer program listing appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure

- a. ☐ Computer Readable Form (CRF)  
b. Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☐ paper  
c. ☐ Statements verifying identity of above copies

4. ☒

Drawing(s) (35 U.S.C. 113) [Total Sheets 3]

## ACCOMPANYING APPLICATION PARTS

5. ☒

Oath or Declaration - Unsigned [Total Pages 7]  
a. ☐ Newly executed (original or copy)  
b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 17 completed)  
i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).

9. ☐ Assignment Papers (cover sheet & document(s))  
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)  
11. ☐ English Translation Document (if applicable)  
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations  
13. ☐ Preliminary Amendment  
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)  
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)  
16. ☐ Request And Certification Under 35 U.S.C. 122(b)(2)(B)(i)  
17. ☒ Other: Certificate of Mailing by Express Mail  
Check for \$710.00

6. ☐

Application Data Sheet. See 37 CFR 1.76

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation

☐ Divisional

☐ Continuation (CIP)

of prior application No.: \_\_\_\_\_ / \_\_\_\_\_

Prior application information:

Examiner: \_\_\_\_\_

Group / Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

☐ Correspondence address below

Name

LATHROP & GAGE, LC

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State

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Name (Print/Type)

Kenneth D. Goetz

Registration No. (Attorney/Agent)

32,696

Signature

*Kenneth D. Goetz*

Date

February 14, 2001

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**FEE TRANSMITTAL**

Patent fees are subject to annual revision.

**Complete if Known**

|                      |                         |
|----------------------|-------------------------|
| Application Number   | Not Assigned Yet        |
| Filing Date          | Filed Herewith          |
| First Named Inventor | George T. Spitz, et al. |
| Examiner Name        | Not Assigned Yet        |
| Group Art Unit       | Not Assigned Yet        |
| Attorney Docket No.  | 390533                  |

**TOTAL AMOUNT OF PAYMENT**(\$)710.00**METHOD OF PAYMENT (check one)****FEE CALCULATION (continued)**

1. ☒ The commissioner is hereby authorized to charge indicated fees and credit any overpayments to:  
 Deposit Account Number 12-0600  
 Deposit Account Name Lathrop & Gage LC  
☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
 Applicant claims small entity status. See 37 CFR 1.27

2. ☒ **Payment Enclosed:**  
☒ Check ☐ Credit Card ☐ Money Order  
☐ Other

**FEE CALCULATION****1. BASIC FILING FEE**

| Large Fee Code           | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description        | Fee Paid   |
|--------------------------|-----------------|----------------|-----------------|------------------------|------------|
| 101                      | 710             | 201            | 355             | Utility filing fee     | <u>710</u> |
| 106                      | 320             | 206            | 160             | Design filing fee      |            |
| 107                      | 490             | 207            | 245             | Plant filing fee       |            |
| 108                      | 710             | 208            | 355             | Reissue filing fee     |            |
| 114                      | 150             | 214            | 75              | Provisional filing fee |            |
| <b>SUBTOTAL (1) (\$)</b> |                 |                |                 |                        | <u>710</u> |

**2. EXTRA CLAIM FEES**

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 16                 | -20** = 0    | X              | = 0      |
| Independent Claims | 2            | -3** = 0       | X        |
| Multiple Dependent |              |                |          |

\*\*or number previously paid, if greater; For Reissues, see below

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description   |
|----------------|-----------------|----------------|-----------------|---|
| 103            | 18              | 203            | 9               | Claims in excess of 20                                    |
| 102            | 80              | 202            | 40              | Independent claims in excess of 3                         |
| 104            | 270             | 204            | 135             | Multiple dependent claim, if not paid                     |
| 109            | 80              | 209            | 40              | **Reissue independent claims over original patent         |
| 110            | 18              | 210            | 9               | **Reissue claims in excess of 20 and over original patent |

**SUBTOTAL (2) (\$)** 0.00**3. ADDITIONAL FEES**

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description  | Fee Paid |
|----------------|-----------------|----------------|-----------------|--|----------|
| 105            | 130             | 205            | 65              | Surcharge - late filing fee or oath  |          |
| 127            | 50              | 227            | 25              | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139            | 130             | 139            | 130             | Non-English specification  |          |
| 147            | 2,520           | 147            | 2,520           | For filing a request for <i>ex parte</i> reexamination                     |          |
| 112            | 920*            | 112            | 920*            | Requesting publication of SIR prior to Examiner action                     |          |
| 113            | 1,840*          | 113            | 1,840*          | Requesting publication of SIR after Examiner action                        |          |
| 115            | 110             | 215            | 55              | Extension for reply within first month                                     |          |
| 116            | 390             | 216            | 195             | Extension for reply within second month                                    |          |
| 117            | 890             | 217            | 445             | Extension for reply within third month                                     |          |
| 118            | 1,390           | 218            | 695             | Extension for reply within fourth month                                    |          |
| 128            | 1,890           | 228            | 945             | Extension for reply within fifth month                                     |          |
| 119            | 310             | 219            | 155             | Notice of Appeal   |          |
| 120            | 310             | 220            | 155             | Filing a brief in support of an appeal                                     |          |
| 121            | 270             | 221            | 135             | Request for oral hearing   |          |
| 138            | 1,510           | 138            | 1,510           | Petition to institute a public use Proceeding                              |          |
| 140            | 110             | 240            | 55              | Petition to revive - unavoidable   |          |
| 141            | 1,240           | 241            | 620             | Petition to revive - unintentional   |          |
| 142            | 1,240           | 242            | 620             | Utility issue fee (or reissue)   |          |
| 143            | 440             | 243            | 220             | Design issue fee   |          |
| 144            | 600             | 244            | 300             | Plant issue fee  |          |
| 122            | 130             | 122            | 130             | Petitions to the Commissioner  |          |
| 123            | 50              | 123            | 50              | Petitions related to provisional Applications                              |          |
| 126            | 240             | 126            | 240             | Submission of Information Disclosure Statement                             |          |
| 581            | 40              | 581            | 40              | Recording each patent assignment Per property (times number of properties) |          |
| 146            | 710             | 246            | 355             | Filing a submission after final Rejection (37 CFR § 1.129(a))              |          |
| 149            | 710             | 249            | 355             | For each additional invention to be Examined (37 CFR § 1.129(b))           |          |
| 179            | 710             | 279            | 355             | Request for Continued Examination (RCE)                                    |          |
| 169            | 900             | 169            | 900             | Request for expedited examination Of a design application                  |          |

Other fee (specify) \_\_\_\_\_

**SUBTOTAL (3) (\$)** 0.00

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY****Complete (if applicable)**

|                   |                         |                  |        |           |                   |
|-------------------|-------------------------|------------------|--------|-----------|-------------------|
| Name (Print/Type) | Kenneth D. Goetz        | Registration No. | 32,696 | Telephone | (816) 460-5849    |
| Signature         | <i>Kenneth D. Goetz</i> | (Attorney/Agent) |        | Date      | February 14, 2001 |

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**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): George T. Spitz, et al.

Matter No.

390533

Serial No.

Filing Date

Examiner

Group Art Unit

Not Assigned Yet

Filed Herewith

Not Assigned Yet

Not Assigned Yet

Invention: ALKOXYMETHYL MELAMINE CROSSLINKERS

I hereby certify that this: Utility Patent Application Transmittal (1 page); Fee Transmittal (1 page); Information Disclosure Statement (2 pages); Form 1449 (1 page); Copies of 8 references; Declaration and Oath [unsigned] (7 pages); Specification, including claims and abstract (26 pages) Check for \$710.00; Certificate of Mailing by Express Mail (1 page); and this postcard are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Box: Patent Application, The Assistant Commissioner for Patents, Washington, D.C. 20231 on this 14th day of February, 2001.

Wendy Precht

Name of Depositor



Signature of Depositor

EL331153075US

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